PTO/SB/05 (1/98)
OMB 0651-0032
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UTILITY PATENT APPLICATION **TRANSMITTAL**

Attorney Docket No. 2915.3US (96-0149.2)

First Inventor or Application Identifier Pai-Hung Pan TECHNIQUE FOR ELIMINATION OF PITTING ON SILICON SUBSTRATE DURING GATE STACK ETCH

Only for new nonprovisional applications under 37 CFR 1.53(b)) Express Mail Label No.

EL638948759US

	PPLICATION ELEMENTS apter 600 concerning utility patent application contents.	Assistant Commissioner for Patents ADDRESS TO: Box Patent Application Washington, DC 20231			
	ee Transmittal Form (e.g., PTO/SB/17) ubmit an original, and a duplicate for fee processing)	6. Microfiche Computer Program (Appendix)			
	ecification [Total Pages 14] eferred arrangement set forth below)	 Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) 			
	Descriptive title of the Invention Cross References to Related Applications	a. Computer Readable Copy			
	Statement Regarding Fed sponsored R & D	b. Paper Copy (identical to computer copy)			
	Reference to Microfiche Appendix	c. Statement verifying identity of above copies			
.	Background of the Invention Brief Summary of the Invention				
1	Brief Summary of the Invention Brief Description of the Drawings (if filed)	ACCOMPANYING APPLICATION PARTS			
l .	Detailed Description	8. Assignment Papers (cover sheet & document(s))			
- C	Claim(s)	9. 37 C.F.R.§3.73(b) Statement Power of Attorney			
- A	bstract of the Disclosure	(When there is all assignee)			
3. X Dra	awing(s) (35 U.S.C. 113) [Total Sheets 14]	10. English Translation Document (if applicable)			
4. Oath or D	Declaration [Total Pages 2]	11. X Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations			
a. [Newly executed (original or copy)	12. Preliminary Amendment			
b. [X Copy from a prior application (37 C.F.R. § 1.63(d (for continuation/divisional with Box 17 completed)	13. X Return Receipt Postcard (MPEP 503) (Should be specifically itemized)			
	[Note Box 5 below] : DELETION OF INVENTOR(S)	* Small Entity Statement filed in prior application,			
	Signed statement attached deleting	14. Statement(s) Status still proper and desired			
	inventor(s) named in the prior application,	15. Certified Copy of Priority Document(s)			
5. X Inco	see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b). rporation By Reference (useable if Box 4b is checked)	(if foreign priority is claimed)			
	entire disclosure of the prior application, from which a	1 ' I N++			
	y of the oath or declaration is supplied under Box 4b, i sidered to be part of the disclosure of the accompanyir				
	ication and is hereby incorporated by reference therein				
17. If a CO	NTINUING APPLICATION, check appropriate box, and su	upply the requisite information below and in a preliminary amendment:			
X C	ontinuation Divisional Continuation-in-part (C	SIP) of prior application No: 09 / 073,494			
Prior ap	oplication information: Examiner H. Nguyen	Group / Art Unit: 2812			
	18. CORRESPONDE	NCE ADDRESS			
Customer Number or Bar Code Label or Correspondence address below					
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Name (F	PrinVType) Devin R. Jensen	Registration No. (Attorney/Agent) 44,805			
Signatur		Date 07/12/2000			

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TRANSMITTA for FY 2000

Patent fees are subject to annual revision. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL	AMOUNT	OF	PAYMENT
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(\$)	69	0		0	0
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Complete if Known					
Application Number	Not yet assigned	10			
Filing Date	July 12, 2000	124			
First Named Inventor	Pai-Hung Pan	ST			
Examiner Name	Unknown	29			
Group / Art Unit	Unknown	960			
Attorney Docket No.	2915.3US (96-0149.2))c			

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)			
The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit		IONAL FE Small Entity Fee Fee Code (\$)		Fee Paid
Account Number 20-1469	105 130	205 65	Surcharge - late filing fee or oath	
Deposit Account Trask Britt	127 50	227 25	Surcharge - late provisional filing fee or cover sheet.	
Name Trask Brice	139 130	139 130	Non-English specification	
Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17	147 2,520	147 2,520	For filing a request for reexamination	
2. X Payment Enclosed:	112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
Check Money Other	113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
FEE CALCULATION	115 110	215 55	Extension for reply within first month	
1. BASIC FILING FEE	116 380	216 190	Extension for reply within second month	
Large Entity Small Entity	117 870	217 435	Extension for reply within third month	
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	118 1,360	218 680	Extension for reply within fourth month	
101 690 201 345 Utility filing fee 690	128 1,850	228 925	Extension for reply within fifth month	
106 310 206 155 Design filing fee	119 300	219 150	Notice of Appeal	
107 480 207 240 Plant filing fee 0	120 300	220 150	Filing a brief in support of an appeal	
108 690 208 345 Reissue filing fee 0	121 260	221 130	Request for oral hearing	
114 150 214 75 Provisional filing fee 0	138 1,510	138 1,510	Petition to institute a public use proceeding	
SUBTOTAL (4) (6) COO CO	140 110	240 55	Petition to revive - unavoidable	
SUBTOTAL (1) (\$) 690.00	141 1,210	241 605	Petition to revive - unintentional	
2. EXTRA CLAIM FEES Fee from	142 1,210	242 605	Utility issue fee (or reissue)	
Extra Claims below Fee Paid	143 430	243 215	Design issue fee	1
Total Claims 18 -20** = 0 X 18 = 0 Independent 3 2** - 0 X 79	144 580	244 290	Plant issue fee	
	122 130	122 130	Petitions to the Commissioner	·
Multiple DependentO =O	123 50	123 50	Petitions related to provisional applications	
**or number previously paid, if greater, For Reissues, see below Large Entity Small Entity	126 240	126 240	Submission of Information Disclosure Stmt	
Fee Fee Fee Fee Description Code (\$) Code (\$)	581 40	581 40	Recording each patent assignment per property (times number of properties)	
103 18 203 9 Claims in excess of 20	146 690	246 345	Filing a submission after final rejection (37 CFR § 1.129(a))	
102 78 202 39 Independent claims in excess of 3 104 260 204 130 Multiple dependent claim, if not paid	149 690	249 345	For each additional invention to be	
104 260 204 130 Multiple dependent claim, if not paid 109 78 209 39 ** Reissue independent claims			examined (37 CFR § 1.129(b))	
over original patent	Other fee (sp	ecify)		
110 18 210 9 ** Reissue claims in excess of 20 and over original patent	Other fee (spe	ecify)		
SUBTOTAL (2) (\$) _ 0 -	*Reduced by	Basic Filing F	ee Paid SUBTOTAL (3) (\$)	- 0 -
CUDWITTED DV				

SUBMITTED BY				Complete (if applicable)		
Name (Print/Type)	Devin R. Jensen	Registration No. (Attorney/Agent)	44,805	Telephone	(801) 532-1922	
Signature	Dein Phenon			Date	07/12/2000	
· E						

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